PTO/SB/21 (08-00) ase type a plus sign (+) inside this box --> + Approved for use through 10/31/2002. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Application Number 09/728.643 TRANSMITTAL Filing Date December 01, 2001 **FORM** First Named Inventor Hamada Masashi (to be used for all correspondence after initial filing) Group Art Unit 2681 Examiner Name **TBA** Total Number of Pages in This Submission Attorney Docket Number 36409-00500 ENCLOSURES (check all that apply) Assignment Papers Fee Transmittal Form (for an Application) Group Appeal Communication to Board of Fee Attached Drawing(s) Appeals and Interferences Appeal Communication to Group Amendment / Response Licensing-related Papers (Appeal Notice, Brief, Reply Brief) After Final Petition ☐ Proprietary Information Petition to Convert to a ☐ Affidavits/declaration(s) **Provisional Application** Status Letter Power of Attorney, Revocation Other Enclosure(s) Extension of Time Request Change of Correspondence Address (please identify below): Information Disclosure Terminal Disclaimer Statement, PTO Form 1449 Form Express Abandonment Request Request for Refund and copies of the cited Information Disclosure Statement CD, Number of CD(s) RECEIVED Certified Copy of Priority Remarks Document(s) OCT n 1 2002 Response to Missing Parts/ Incomplete Application **Technology Center 2600** Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Milbank, Tweed, Hadley & McCloy LLP Chris L. Holm Individual name Signature

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: September 33, 2002

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PATENT

Docket No.: 36409-00500

THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s)

Hamada Masashi

Serial No.

09/728,643

Group Art Unit

2681

Filed

December 01, 2001

Examiner

TBA

For SEP 3 0 2002

COMMUNICATING APPARATUS, COMMUNICATION SYSTEM, COMMUNICATING METHOD, AND STORAGE MEDIUM

INFORMATION DISCLOSURE STATEMENT

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Box No Fee ASSISTANT COMMISSIONER FOR PATENTS Washington, D.C. 20231

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Technology Center 2600

Sir:

This Information Disclosure Statement is filed in accordance with 37 C.F.R. §§1.56, 1.97 and 1.98. The items listed on Form PTO-1449, a copy of which is enclosed, may be deemed to be pertinent to the above-identified application and are made of record to assist the Patent and Trademark Office in its examination of this application. The Examiner is respectfully requested to fully consider the items and to independently ascertain their teaching.

1. E For each of the following items listed on the enclosed copy of Form PTO-1449 that is not in the English language, an English language translation of that item or a portion thereof or a concise explanation of the relevance of that item is enclosed:

Abstract of KR 97 0076227

- 2. [] For each of the following items listed on the enclosed copy of Form PTO-1449 that is not in the English language, a concise explanation of the relevance of that item is being incorporated in the specification of the above-identified application.

Docket No.: 36409-00500

4. K	No fee is due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement since is being filed in compliance with:
	[] 37 C.F.R. §1.97(b)(1), within three months of the filing date of the above-identified application.
	[] 37 C.F.R. §1.97(b)(2), within three months of the date of entry into the national stage as set forth in §1.491 in an international application.
	≥ 37 C.F.R. §1.97(b)(3), before the mailing date of a first Office action on the merits.
5. 🗷	No fee is due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement since is being filed in compliance with 37 C.F.R. §1.97(c), after the period specified in paragraph 4 above but before the mailing date of a final action or a Notice of Allowance (where there has been no prior final action), and is accompanied by one of the certifications pursuant to 37 C.F.R. §1.97(e) set forth in paragraph 9 below.
6. []	A fee is due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement since it is being filed in compliance with 37 C.F.R. §1.97(c), after the period specified in paragraph 4 above but before the mailing date of a final action or a notice of allowance (where there has been no prior final action):
	[] A check in the amount of \$240.00 is enclosed in payment of the fee.
	[] Charge the fee to Deposit Account No. 13-3250 Order No. 36409-00500. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.
7. []	A fee is due under 37 C.F.R. §1.17(i)(1) for this Information Disclosure Statement since it is being filed in compliance with 37 C.F.R. §1.97(d), after the mailing date of a final action or a notice of allowance, whichever comes first, but before payment of the issue fee, and is accompanied by:
	a. one of the certifications pursuant to 37 C.F.R. §1.97(e) set forth in paragraph 9 below; and
	b. the attached petition requesting consideration of this Information Disclosure Statement; and
	c. the fee due under 37 C.F.R. §1.17(i)(1) which is paid as set forth in paragraph 10 below.
8.[]	A fee is due under 37 C.F.R. §1.17(i)(1) for this Information Disclosure Statement since it is being filed in compliance with:
	a. [] 37 C.F.R. §1.313(b)(3), after the issue fee has been paid and information cited in this Information Disclosure Statement may render at least one claim unpatentable and is accompanied by the attached Petition To Withdraw

PATENT

Docket No.: 36409-00500

Application From Issue;

b. 37 C.F.R. §1.313(b)(5), after the issue fee has been paid and information cited in this Information Disclosure Statement is to be considered in a Continuation application upon abandonment of the instant application and is accompanied by the attached Petition To Withdraw Application From Issue.

- c. The fee due under 37 C.F.R. §1.17(i)(1) is paid as set forth in paragraph 10 below.
- 9. I hereby certify that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.
 - [] I hereby certify that no item of information in the Information Disclosure Statement filed herewith was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of this Information Disclosure Statement.
- 10. [] A check in the amount of \$130.00 is enclosed in payment of the fee due under 37 C.F.R. §1.17(i)(1).
 - Charge the fee due under 37 C.F.R. §1.17(i)(1) to Deposit Account No. 13-3250. Order No. 36409-00500 A DUPLICATE COPY OF THIS SHEET IS ATTACHED.
 - In The Assistant Commissioner is hereby authorized to charge any additional fees which may be required for this Information Disclosure Statement, or credit any overpayment to Deposit Account No. 13-3250. Order No. 36409-00500 A DUPLICATE COPY OF THIS SHEET IS ATTACHED.

Respectfully submitted,

MILBANK, TWEED, HADLEY & MCCLOY LLP

Chris L. Holm, Esq.

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